

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: G. HARDING
Title: Anode module for a liquid metal anode X-ray source, and X-ray emitter
comprising an anode module
Serial No.: 10/599,420
Art Unit: Not available
Filing Date: September 28, 2006
Examiner: Not available
Date: October 30, 2006

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- [X] 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- [X] 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

- [] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

[] a. The required Certification made in item 5 below; or

[] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

- [] 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

a) The required Certification is stated in item 5 below; and

b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

[] 5. Certification

- [] a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- [] b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

[X] 6. Payment of all applicable fees:

- ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 090470.
- ☐ Enclosed is a check in the amount of \$_____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,

/mac39148/
Mark A. Conklin
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Patent Counsel

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Suite 204
187 Danbury Road
Wilton, CT 06897-4122

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Complete if Known

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Sheet	1	of	2
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Application Number	10/599,420
Filing Date	September 28, 2006
First Named Inventor	G. HARDING
Art Unit	Not available
Examiner Name	Not available
Attorney Docket Number	11HP201653

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² , Number*, Kind Code ⁶ (if known)	Prior Art Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unos, Where Relevant Passages Or Relevant Figures Appear	T*
		FR 741148A	02-04-1933	Tellez-Piasencia		
		DE19900467A1	04-20-2000	Selmens AG		
		EP0584871B	11-20-1996	Tan, Dagangm Dr		

Examiner Signature	/Thomas Artman/	Date Considered	02/29/2008
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /TA/

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Sheet	2	of	2
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NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature	/Thomas Artman/	Date Considered	02/29/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (3PTCR 1.98). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to inform or obtain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the collection of this information, including any burden or other aspects that may affect the collection, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The information provided by you in this form will be subject to the following routine uses:

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